# Exploring the parameter space in lattice attacks

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Based on attack survey from 2019 Bernstein–Chuengsatiansup– Lange–van Vredendaal.

Some hard lattice meta-problems:

- Analyze cost of known attacks.
- Optimize attack parameters.
- Compare different attacks.
- Evaluate crypto parameters.
- Evaluate crypto designs.

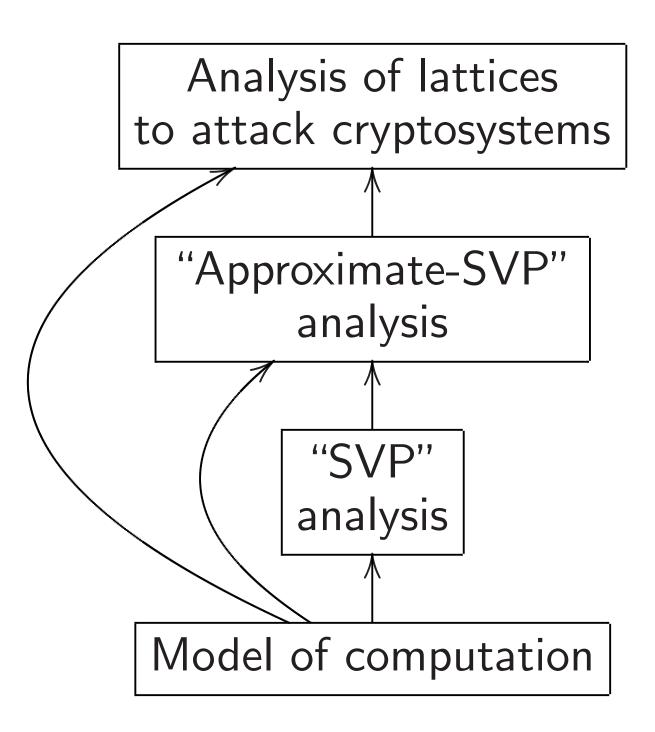
Ignoring cost of memory:

368	185	enum, ignoring hybrid
230	169	enum, including hybrid
153	139	sieving, ignoring hybrid
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Accounting for cost of memory:

368	185	enum, ignoring hybrid
277	169	enum, including hybrid
208	208	sieving, ignoring hybrid
208	180	sieving, including hybrid

Security levels: | . . . | pre-quantum | . . . | post-quantum Analysis of typical lattice attack has complications at four layers, and at interfaces between layers. This talk emphasizes top layer.



#### Three typical attack problems

Define  $\mathcal{R} = \mathbf{Z}[x]/(x^{761} - x - 1);$ "small" = all coeffs in  $\{-1, 0, 1\};$ w = 286; q = 4591.

Attacker wants to find small weight-*w* secret  $a \in \mathcal{R}$ .

Problem 1: Public  $G \in \mathcal{R}/q$  with aG + e = 0. Small secret  $e \in \mathcal{R}$ .

Problem 2: Public  $G \in \mathcal{R}/q$  and aG + e = A. Small secret  $e \in \mathcal{R}$ .

Problem 3: Public  $G_1, G_2 \in \mathcal{R}/q$ . Public  $aG_1 + e_1, aG_2 + e_2$ . Small secrets  $e_1, e_2 \in \mathcal{R}$ .

# Examples of target cryptosystems Secret key: small *a*; small *e*. Public key reveals multiplier *G* and approximation A = aG + e. Public key for "NTRU" (1996 Hoffstein–Pipher–Silverman): G = -e/a, and A = 0.

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Recognize similarity + credits: "NTRU"  $\Rightarrow$  Quotient NTRU. "Ring-LWE"  $\Rightarrow$  Product NTRU. Encryption for Quotient NTRU: Input small *b*, small *d*. Ciphertext: B = 3bG + d. Encryption for Quotient NTRU: Input small *b*, small *d*.

Ciphertext: B = 3bG + d.

Encryption for Product NTRU: Input encoded message M. Randomly generate small b, small d, small c. Ciphertext: B = bG + dand C = bA + M + c. Encryption for Quotient NTRU: Input small *b*, small *d*.

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2019 Bernstein "Comparing proofs of security for lattice-based encryption" includes survey of *G*, *a*, *e*, *c*, *M* details and variants in NISTPQC submissions.

### <u>Lattices</u>

Rewrite each problem as finding **short** nonzero solution to system of homogeneous  $\mathcal{R}/q$  equations.

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Problem 3: Find  $(a, t_1, t_2, e_1, e_2) \in \mathcal{R}^5$  with  $aG_1 + e_1 = A_1 t_1, aG_2 + e_2 = A_2 t_2,$ given  $G_1, A_1, G_2, A_2 \in \mathcal{R}/q.$  Recognize each solution space as a full-rank lattice:

Problem 1: Lattice is image of the map  $(\overline{a}, \overline{r}) \mapsto (\overline{a}, q\overline{r} - \overline{a}G)$ from  $\mathcal{R}^2$  to  $\mathcal{R}^2$ . Recognize each solution space as a full-rank lattice:

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Problem 2: Lattice is image of the map  $(\overline{a}, \overline{t}, \overline{r}) \mapsto$  $(\overline{a}, \overline{t}, A\overline{t} + q\overline{r} - \overline{a}G).$  Recognize each solution space as a full-rank lattice:

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Problem 3: Lattice is image of the map  $(\overline{a}, \overline{t_1}, \overline{t_2}, \overline{r_1}, \overline{r_2}) \mapsto$  $(\overline{a}, \overline{t_1}, \overline{t_2}, A_1\overline{t_1} + q\overline{r_1} - \overline{a}G_1, A_2\overline{t_2} + q\overline{r_2} - \overline{a}G_2).$ 

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Many more lattice vectors are fairly short combinations of independent vectors: e.g., ((x+1)a, (x+1)t, (x+1)e). 1999 May, for Problem 1: Force a stretch of coefficients of *a* to be 0. This reduces lattice rank, speeding up various attacks, despite lower success chance. 1999 May, for Problem 1: Force a stretch of coefficients of *a* to be 0. This reduces lattice rank, speeding up various attacks, despite lower success chance.

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Other problems: same speedup. e.g. "Bai–Galbraith embedding" for Problem 2: Force  $t \in \mathbf{Z}$ ; force a few coefficients of *a* to be 0.

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Lattice has rank  $2 \cdot 761 = 1522$ . Attack parameter: k = 13. Force k positions in a to be 0: restrict to sublattice of rank 1509. Pr[a is in sublattice]  $\approx 0.2\%$ .

Standard analysis for, e.g.,  $\mathbf{Z}[x]/(x^{761} - 1)$ : Each  $(x^j a, x^j e)$ has chance  $\approx 0.2\%$  of being in sublattice. These 761 chances are independent. (No, they aren't; also, total Pr depends on attacker's choice of positions. See 2001 May–Silverman.)

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Pretend this analysis applies to  $\mathbf{Z}[x]/(x^{761} - x - 1)$ . (It doesn't.)

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Attack parameter:  $\lambda = 1.331876$ . Rescaling (1997 Coppersmith– Shamir): Assign weight  $\lambda$  to positions in *a*. Increases length of *a* to  $\lambda \sqrt{w} \approx 23$ ; increases det to  $\lambda^{748}q^{600}$ . (Is this  $\lambda$  optimal? Interaction with *e* size variation?)

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Hybrid attacks (2008 Howgrave-Graham, ..., 2018 Wunderer): often faster; different analysis.