Lattice-based cryptography VI Reaction attack on NTRU

Tanja Lange

Eindhoven University of Technology

SAC – Post-quantum cryptography

Reminder: decryption failures

Decryption of c wants that

$$a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$$

has the integer factor 3 in the first part, even after reduction modulo q. This works if the computed a equals $r \cdot 3g + f \cdot m$ in R, i.e., without reduction modulo q.

This works if everything is small enough compared to q. For d non-zero coefficients in f and r the maximum coefficient of $r \cdot 3g + f \cdot m$ is

$$3d + d$$
,

and typically much smaller.

Can choose q such that q/2 > 4d – or hope for the best and expect coefficients not to collude.

$$a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$$

Assume that c is such that a decrypts correctly, i.e.

$$a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$$
 has $a_i \in [-q/2, q/2].$

(You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$.

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that *c* is such that *a* decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some *j* that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending c' = c + 1 leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + f \mod q$$

thus

$$a'=\sum_{i=0}^{n-1}(a_i+f_i)x^i$$

which fails iff

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending c' = c + 1 leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + f \mod q,$$

thus

$$a'=\sum_{i=0}^{n-1}(a_i+f_i)x^i$$

which fails iff $a_j = q/2$ and $f_j = 1$.

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that *c* is such that *a* decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some *j* that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending c' = c - 1 leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m - f \mod q,$$

thus

$$a'=\sum_{i=0}^{n-1}(a_i-f_i)x'$$

which fails iff

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending c' = c - 1 leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m - f \mod q,$$

thus

$$a'=\sum_{i=0}^{n-1}(a_i-f_i)x^i$$

which fails iff $a_j = q/2$ and $f_j = -1$.

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending c' = c + x leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + fx \mod q,$$

thus

$$a' = \sum_{i=0}^{n-1} (a_i + f_{i-1}) x^i$$

which fails iff

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending c' = c + x leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + fx \mod q,$$

thus

$$a' = \sum_{i=0}^{n-1} (a_i + f_{i-1}) x^i$$

which fails iff $a_j = q/2$ and $f_{j-1} = 1$. Remember that in R computations happen modulo $x^n - 1$, thus indices of f are taken modulo n.

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending $c' = c + x^k$ leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + fx^k \mod q,$$

thus

$$a' = \sum_{i=0}^{n-1} (a_i + f_{i-k}) x^i$$

which fails iff

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending $c' = c + x^k$ leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + fx^k \mod q,$$

thus

$$a' = \sum_{i=0}^{n-1} (a_i + f_{i-k}) x^i$$

which fails iff $a_j = q/2$ and $f_{j-k} = 1$. Remember that in R computations happen modulo $x^n - 1$, thus indices of f are taken modulo n.

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending $c' = c + 2x^k$ leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + 2fx^k \mod q,$$

thus

$$a' = \sum_{i=0}^{n-1} (a_i + 2f_{i-k}) x^i$$

which fails iff

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending $c' = c + 2x^k$ leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + 2fx^k \mod q,$$

thus

$$a' = \sum_{i=0}^{n-1} (a_i + 2f_{i-k}) x^i$$

which fails iff $a_j = q/2 - 1$ and $f_{j-k} = 1$. Remember that in R computations happen modulo $x^n - 1$, thus indices of f are taken modulo n.

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending $c' = c + \ell x^k$ leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + \ell f x^k \mod q,$$

thus

$$a'=\sum_{i=0}^{n-1}(a_i+\ell f_{i-k})x^i$$

which fails iff

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending $c' = c + \ell x^k$ leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + \ell f x^k \mod q,$$

thus

$$a' = \sum_{i=0}^{n-1} (a_i + \ell f_{i-k}) x^i$$

which fails iff $a_j = q/2 - \ell + 1$ and $f_{j-k} = 1$. Remember that in R computations happen modulo $x^n - 1$, thus indices of f are taken modulo n.

 $a = f \cdot c = r \cdot 3g + f \cdot m \mod q,$

Assume that c is such that a decrypts correctly, i.e. $a = r \cdot 3g + f \cdot m = \sum_{i=0}^{n-1} a_i x^i$ has $a_i \in [-q/2, q/2]$. (You can test this with a reaction attack.) Assume for some j that $|a_j| > |a_i|$ for $i \neq j$ and assume that $a_j > 0$. Sending $c' = c + \ell x^k$ leads to

$$a' = f \cdot c' = r \cdot 3g + f \cdot m + \ell f x^k \mod q,$$

thus

$$a'=\sum_{i=0}^{n-1}(a_i+\ell f_{i-k})x^i$$

which fails iff $a_j = q/2 - \ell + 1$ and $f_{j-k} = 1$. Remember that in R computations happen modulo $x^n - 1$, thus indices of f are taken modulo n. Try all k, then increase ℓ . Once the first failure happens, get all coefficients of f with ℓ and $-\ell$, running through $0 \le k < n$.

Tanja Lange

Lattice-based cryptography VI

Better attacks fewer assumptions

- Full attack without assumptions, see Jeffrey Hoffstein, Joseph H. Silverman: Reaction Attacks Against the NTRU Public Key Cryptosystem (NTRU Tech Report #015v2, 2000) for this attack and unconditional version.
- More general reaction attack, also against other lattice-based systems exist.

See Scott R. Fluhrer: Cryptanalysis of ring-LWE based key exchange with key share reuse, 2016. IACR Cryptology ePrint Archive 2016/085.