### Code-based cryptography I Basic concepts and McElice system

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SAC – Post-quantum cryptography

### Error correction

- Digital media is exposed to memory corruption.
- Many systems check whether data was corrupted in transit:
  - ISBN numbers have check digit to detect corruption.
  - ECC RAM detects up to two errors and can correct one error. 64 bits are stored as 72 bits: extra 8 bits for checks and recovery.
- In general, k bits of data get stored in n bits, adding some redundancy.
- If no error occurred, these *n* bits satisfy n k parity check equations; else can correct errors from the error pattern.
- Good codes can correct many errors without blowing up storage too much;

offer guarantee to correct t errors (often can correct or at least detect more).

#### Linear codes

A binary linear code C of length n and dimension k is a k-dimensional subspace of  $\mathbb{F}_2^n$ .

- C is usually specified as
  - the row space of a generating matrix  $G \in {\rm I\!F}_2^{k imes n}$

 $C = \{\mathbf{m}G | \mathbf{m} \in \mathbb{F}_2^k\}$ 

• the kernel space of a parity-check matrix  $H \in \mathbb{F}_2^{(n-k) imes n}$ 

$$C = \{ \mathbf{c} | H \mathbf{c}^{\mathsf{T}} = 0, \ \mathbf{c} \in \mathbb{F}_2^n \}$$

Leaving out the <sup>T</sup> from now on.

• Names: code word  $\mathbf{c}$ , error vector  $\mathbf{e}$ , received word  $\mathbf{b} = \mathbf{c} + \mathbf{e}$ .

## Example: Hamming code

Parity check matrix (n = 7, k = 4):

$$H = \begin{pmatrix} 1 & 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{pmatrix}$$

An error-free string of 7 bits  $\mathbf{b} = (b_0, b_1, b_2, b_3, b_4, b_5, b_6)$  satisfies these three equations:

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Example with generator matrix:

$$G=egin{pmatrix} 1 & 0 & 1 & 0 & 1\ 1 & 1 & 0 & 0 & 0\ 1 & 1 & 1 & 1 & 0 \end{pmatrix}$$

c = (111)G = (10011) is a code word.

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$$H(\mathbf{c}_1 + \mathbf{c}_2) = H\mathbf{c}_1 + H\mathbf{c}_2 = 0 + 0 = 0.$$

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## Hamming weight and distance

• The Hamming weight of a word is the number of nonzero coordinates.

$$wt(1, 0, 0, 1, 1) = 3$$

• The Hamming distance between two words in  $\mathbb{F}_2^n$  is the number of coordinates in which they differ.

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The Hamming distance between  $\boldsymbol{x}$  and  $\boldsymbol{y}$  equals the Hamming weight of  $\boldsymbol{x}+\boldsymbol{y}:$ 

d((1,1,0,1,1),(1,0,0,1,1)) = wt(0,1,0,0,0).

• The minimum distance of a linear code *C* is the smallest Hamming weight of a nonzero code word in *C*.

$$d = \min_{0 \neq \mathbf{c} \in C} \{ \operatorname{wt}(\mathbf{c}) \} = \min_{\mathbf{b} \neq \mathbf{c} \in C} \{ d(\mathbf{b}, \mathbf{c}) \}$$

 In code with minimum distance d = 2t + 1, any vector x = c + e with wt(e) ≤ t is uniquely decodable to c;
i. e. there is no closer code word. Decoding problem: find the closest code word  $\mathbf{c} \in C$  to a given  $\mathbf{x} \in \mathbb{F}_2^n$ , assuming that there is a unique closest code word. Let  $\mathbf{x} = \mathbf{c} + \mathbf{e}$ . Note that finding  $\mathbf{e}$  is an equivalent problem.

- If **c** is *t* errors away from **x**, i.e., the Hamming weight of **e** is *t*, this is called a *t*-error correcting problem.
- There are lots of code families with fast decoding algorithms, e.g., Reed–Solomon codes, Goppa codes/alternant codes, etc.
- However, the general decoding problem is hard: Information-set decoding (see later) takes exponential time.

## The McEliece cryptosystem I

- Due to Robert McEliece 1978.
- Let C be a length-n binary Goppa code  $\Gamma$  of dimension k with minimum distance 2t + 1 where  $t \approx (n k) / \log_2(n)$ ; original parameters (1978) n = 1024, k = 524, t = 50.
- The McEliece secret key consists of a generator matrix G for Γ, an efficient t-error correcting decoding algorithm for Γ; an n × n permutation matrix P and a nonsingular k × k matrix S.
- n, k, t are public; but  $\Gamma$ , P, S are randomly generated secrets.
- The McEliece public key is the  $k \times n$  matrix G' = SGP.

# The McEliece cryptosystem II

- Encrypt: Compute mG' and add a random error vector e of weight t and length n. Send y = mG' + e.
- Decrypt: Compute yP<sup>-1</sup> = mG'P<sup>-1</sup> + eP<sup>-1</sup> = (mS)G + eP<sup>-1</sup>. This works because eP<sup>-1</sup> has the same weight as e

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- Attacker is faced with decoding **y** to nearest code word **m***G*' in the code generated by *G*'.

This is general decoding if G' does not expose any structure.