

- **C: code**

- over an **alphabet Q** where $|Q|=q$

- **q -ary code**

- **$|C|=M$ size** of the code

- send codeword **c** (information + redundancy). Receiver gets **c'** and will try to decode

- consider **hard-decision decoding**, i.e., no erasures, every entry in **c** is a valid symbol from the alphabet

- **Maximum-likelihood decoding**: decode **y** to the nearest codeword

- Def: Distance: **d** between **$x=(x_1, \dots, x_n)$** and **$y=(y_1, \dots, y_n)$**

$$d(x,y) = \#\{1 \leq i \leq n: x_i \neq y_i\}$$

= number of symbols that change when going from **x** to **y**

- **Error-correcting capability** of a code **C** with min dist **d** is **$e=(d-1)/2$**

- If no more than **e** errors are introduced one can recover codeword with maximum-likelihood decoding correctly

- Example: **repetition code** (1,1,1,1,1), (0,0,0,0,0) of length **$n=5$** has minimum distance **$d=2$** . Can correct 2 errors.

- **Def: Minimum distance**

The minimum distance of a code **$C \neq \emptyset$** is

$$d = \min\{d(x,y) \text{ for all } x \neq y \text{ in } C\}$$

- **Hamming bound** last week

- **covering radius**: max distance of an arbitrary word in the ambient space to a codeword

$$\rho = \max\{d(x, C) \mid x \in Q^n\}$$

- every word x in Q^n is at distance at most ρ to some codeword, say c , it is also inside at least one of the spheres of radius ρ around the codewords

- So spheres of radius ρ around all codewords cover Q^n

- Spheres of radius $e = (d-1)/2$ around codewords have no overlap

- So $e \leq \rho$

- Def: code is called **perfect** if $e = \rho$

- All spheres around codewords have no overlap

- For odd length n the repetition code is perfect

$B_{[(n-1)/2]}(0, \dots, 0)$ contains all elements of $\{0, 1\}^n$ with $\leq [(n-1)/2]$ 1's and

$B_{[(n-1)/2]}(1, \dots, 1)$ contains all the other elements in $\{0, 1\}^n$

- e.g.

$n=5$ $B_2(0, \dots, 0)$ contains $(0, \dots, 0)$ and all words with one or two 1's

$(5 \text{ choose } 2) + (5 \text{ choose } 1) + (5 \text{ choose } 0) = 16$ words

$B_2(1, \dots, 1)$ contains $(1, \dots, 1)$ and all words with three or more 1's

$(5 \text{ choose } 3) + (5 \text{ choose } 4) + (5 \text{ choose } 5) = 16$ words

Partition of the set $\{0, 1\}^n$

- There are $(n \text{ choose } i)(q-1)^i$ elements at distance i

- Thm 2.1.5 (didn't call it like this but showed the formula)

- Thm 2.1.7 **Sphere-Packing Bound**

Thm. **Singleton-bound**

Let $\mathbf{C}$ be a q -ary (n, M, d) code. Then

$$M \leq q^{n-d+1}$$

Proof.

Erase in all codewords the last $d-1$ coordinates. Because all words have minimum distance d in $\mathbf{C}$ the new words will still be distinct. Their length is $n-(d-1)=n-d+1$. However, there are only q^{n-d+1} possible words of length $n-d+1$ over an alphabet of size q

- Codes with parameters (n, q^{n-d+1}, d) are called **maximum-distance separable** or simply **MDS codes**

Thm 2.1.9 Gilbert-Varshamov bound

Proof.

Let $\mathbf{C}'$ be a code with M' elements and minimum distance $> d$.

If $M' \cdot \sum_{i=0}^{d-1} q^i < q^n$

then the spheres of radius $d-1$ do not cover the whole space Q^n and we can find at least one element x in Q^n which is not in $\mathbf{C}'$ with $d(x, \mathbf{C}') \geq d$. Build a new code $\mathbf{C} = \mathbf{C}' \cup \{x\}$ with $M'+1$ codewords and minimum distance d .

QED

- Note this was a purely theoretical result. It took years to find actual examples

Linear Codes

- Want alphabets to be finite fields, i.e. $Q = F_q$
- note: book uses notation $F_q = GF(q)$ "Galois Field", both are commonly used
- Def. A linear code is a vector space $\mathbf{C} \subset (F_q)^n$
- As vector space $\mathbf{C}$ has a dimension, denoted by k , so $\mathbf{C}$ has size $M = q^k$
- For a linear code we sometimes write (n, k, d) -code to say it has length n , dimension k and minimum distance d
- **Hamming weight** of a vector $\mathbf{x}$ in $(F_q)^n$ is the number of non-zero coordinates of $\mathbf{c}$
- We have $w(\mathbf{x}) = d(\mathbf{x}, \mathbf{0})$ for any element $\mathbf{x}$ in $(F_q)^n$
- Thm 2.2.3 The minimum distance of a linear code equals the minimum weight of a nonzero codeword in $\mathbf{C}$.

Proof. min distance : $d = \min\{d(\mathbf{x}, \mathbf{y}) \text{ for all } \mathbf{x} \neq \mathbf{y} \text{ in } \mathbf{C}\}$

$$d(\mathbf{x}, \mathbf{y}) = d(\mathbf{x} - \mathbf{y}, \mathbf{0}) = w(\mathbf{x} - \mathbf{y})$$

Since $\mathbf{C}$ is linear $\mathbf{x} - \mathbf{y}$ is a codeword in $\mathbf{C}$.

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- Recall linear algebra
 - A linear code $\mathbf{C}$ is a vector space of dimension k .
So we can write down a basis of $\mathbf{C}$ consisting of k linearly independent vectors in $\mathbf{C}$
 - Write down as a $k \times n$ matrix (k basis elements, each of length n)
 - Consider a vector $\mathbf{m}$ in $(F_q)^k$. Then $\mathbf{m} \cdot \mathbf{G}$ is just taking linear combinations of the rows of $\mathbf{G}$
 - Such a matrix gives an embedding of $(F_q)^k$ into $(F_q)^n$
 - messages are mapped to codewords.

- Def. A generator matrix **G** for **C** is a **k x n** matrix such that

$$\mathbf{C} = \{m \cdot \mathbf{G} \mid m \text{ in } (F_q)^k\}$$

- note **G** has **full rank** by construction

- Examples:

- **Repetition code** of length **n**

F_q into **(F_q)ⁿ**, **c** --> **(c,c,...,c)**

has **G = (1,1,...,1)**; codewords are generated as **0·G** and **1·G**

- The **binary even-weight code** (n,n-1,2) has generator matrix

(1 0 0 1)

(0 1 0 1)

()

(0 0 1 1)

(obviously independent, exactly two 1's per row, taking linear combinations of G's rows produces words of even weight)

- Any generator matrix can be written in **systematic form** (or **standard form**)

G = (I_k | Q) for some **k x (n-k)** matrix **Q**

mapping **m** to **m·G = (m, *****)**. If **G** is in systematic form, we call the first **k** symbols of **m·G** **information symbols** and the remaining **r = n - k** **redundancy symbols**

- very important for code-based cryptography!

- Translation of bounds

- linear Sphere-packing bound

- linear Singleton bound **k ≤ n - d + 1**

- linear GV bound